

58,
53, 59, 63

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property
Organization
International Bureau



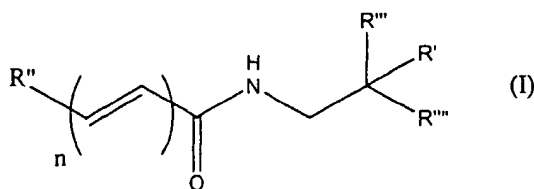
(43) International Publication Date
5 February 2004 (05.02.2004)

PCT

(10) International Publication Number
WO 2004/011415 A1

- (51) International Patent Classification⁷: **C07C 233/09**, 233/20, A23L 1/226
- (74) Agent: McSTEa, John, Anthony; Global Patents, Überlandstrasse 138, CH-8600 Dübendorf (CH).
- (21) International Application Number:
PCT/CH2003/000500
- (22) International Filing Date: 23 July 2003 (23.07.2003)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
60/398,449 (25 July 2002 (25.07.2002) US
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- (71) Applicant (*for all designated States except US*): GIVAUDAN SA [CH/CH]; Chemin de la Parfumerie 5, CH-1214 Vernier (CH).
- (72) Inventors; and
- (75) Inventors/Applicants (*for US only*): GALOPIN, Christophe [FR/US]; 7232 Rolling Meadows Drive, West Chester, OH 45069 (US). GOEKE, Andreas [DE/CH]; Grünenstrasse 21, CH-8600 Dübendorf (CH). FURRER, Stefan [CH/US]; 2749 Linshaw Court, App. 7, Cincinnati, OH 45208 (US).
- Published:
— with international search report
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: FLAVOURANT COMPOUNDS



(57) Abstract: Use as a flavour ingredient of an aliphatic or aromatic unsaturated amide of formula (I).

WO 2004/011415 A1

Flavourant Compounds

This invention relates to flavourant molecules for use in foods and beverages.

5 New and interesting flavour ingredients are always being sought. To be of any practical use for flavourists, ingredients are needed that not only possess interesting organoleptic properties, but they should also be inexpensive to produce and stable during long periods of storage and to processing conditions that may comprise elevated temperatures and humidity, and extremes of pH.

10

Highly flavoured and pungent compounds are particularly valued in southern Asian cuisine..Typical of these are certain sanshool derivatives. However, a problem with the known sanshool derivatives is that they are unstable; they tend to polymerise easily and are therefore difficult to store over prolonged periods and are difficult to incorporate in processed

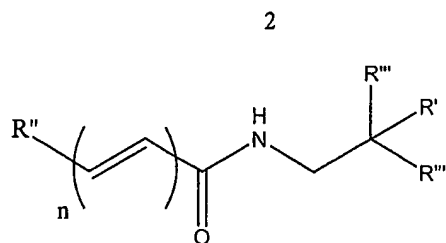
15 consumables that create conditions promoting instability.

Thus, the prior art goes no further than to make certain empirical observations concerning the organoleptic properties of these compounds. It does not give any hint or suggestion as to problems with instability, and so naturally does not teach solutions for overcoming instability.

20 Further, the art does not provide any technical teaching as to the influence of the compounds' structure on their organoleptic properties.

It has now been found that certain aliphatic and aromatic unsaturated amides are easily synthesised, are relatively stable during manufacture and under storage and processing

25 conditions commonly experienced in the manufacture of consumables, and possess interesting pungent odours and leave a pleasing tingling sensation in the mouth. Therefore, the invention provides in a first aspect the use as a flavour ingredient of at least one compound according to the formula (I)

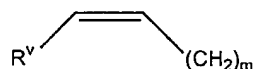


wherein,

R' is H, or OH, and n is 1 or 2, R'' being selected such that

5

(a) when n=2, R'' is a group of the formula



wherein m is 1, 2 or 3;

- 10 R''' and R'''' are independently selected from H, C1-C4 alkyl, benzyl, or
 R''' and R'''' together with the carbon atom to which they are attached form a 5-or 6-
 membered carbocyclic ring,
 R^v is alkyl or alkenyl or a substituted alkyl or unsubstituted alkenyl that does not contain
 any aliphatic conjugated double bonds, and is preferably a linear or branched alkyl group
 15 having from 1 to 6 carbon atoms, or a linear or branched alkenyl group having 2 to 6
 carbon atoms;

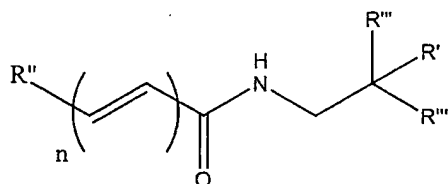
or

- 20 (b) when n=1, R'' is selected from a group as described under (a) and a phenyl group.

Use of these compounds as flavouring compounds gives to food a pungent note and imparts a
 pleasant tingling sensation in the mouth. The invention therefore also provides a method for
 imparting a pungent flavour to a food product, comprising the addition to the food product of a
 25 compound of the type hereinabove described.

The compounds hereinabove described are not prone to polymerisation. The compounds share common functional features that may be characterised by one or two double bonds in the *trans*-configuration adjacent the carbonyl group, and a further double bond, provided in the group R'' that is in the *cis*-configuration. This *trans-cis*, or *trans-trans-cis* configuration is important to preserve the pungent flavour note. Furthermore, the group Rv can tolerate additional unsaturation but it must not contain any conjugated unsaturation, unless the conjugated unsaturation is present in an aromatic motif such as a phenyl group. It is believed that aliphatic conjugated saturation in this part of the molecule results in instability and a tendency for the molecules to polymerise. In contradistinction to the prior compounds mentioned above, none of the compounds of formula (I) contain such functionality. This functionality is essential for achieving these desirous properties, and is entirely unpredictable having regard to the prior art.

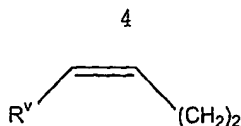
Some of the abovementioned compounds are novel. Therefore, the invention also provides a compound of the formula



wherein the moieties therein are selected according to the following table:

Compound	R'	R''	R'''	R''''	n
1	H	B	Methyl	Methyl	2
2	OH	C	Methyl	Methyl	2

wherein B and C are each a group of the formula



wherein in B R^v is an ethyl radical; and in C R^v is a methyl radical.

Compounds for use in the present invention may be prepared according to synthetic procedures
5 known in the art from readily available starting materials.

Aliphatic unsaturated amides of formula (I) may be prepared in a one-pot synthesis, coupling
diethyl N-substituted phosphonoacetamide and an appropriately substituted aldehyde using
butyl lithium in a suitable dry solvent such as THF according to classic Wittig-Horner-Emmons
10 chemistry. The N-substituted phosphonoacetamide may be N-iso-butyl phosphonoacetamide
and the aldehyde may be nonadienal, although the skilled person will understand that other
starting aldehydes that are commonly available or can be made according to known syntheses
from available starting materials, may be employed to provide compounds of the present
invention.

15

Aromatic unsaturated amides according to formula (I) are likewise formed by a simple
synthetic protocol, using an appropriately substituted amine and cinnamic acid or an
appropriately substituted cinnamic acid, or in the case of $n=2$ the 5-phenyl-penta-2,4dienoic
acid, or substituted 5-phenyl-penta-2,4dienoic acid. Further details relating to the synthesis of
20 the compounds of formula (I) are disclosed in the Examples set forth in detail below.

A compound for use in the present invention imparts a pungent, spicy note to consumables. It
also leaves a pleasant tingling sensation in the mouth without any attendant burning or pain
sensation. A compound of the present invention or a mixture thereof may be used as a flavour
25 ingredient in flavour compositions. A compound or mixture of compounds may be blended with
other flavour ingredients in said compositions. A compound or mixture of compounds imparts a
particularly interesting note to all kinds of savoury food products and also to beverages, and is
also interesting in enhancing the performance of coolant compounds or compositions.

In addition to their combination with other flavour ingredients, flavour compositions may contain additional excipients that are commonly employed in the art for improving or enhancing the performance of food or beverage products, for example preservatives, colourants, emulsifiers and encapsulating materials and formulations

5

Flavour compositions as herein above described may be added to processed consumables during their processing, or they may actually be consumables in their own right, e.g. condiments such as sauces and the like.

- 10 The flavourant qualities of compounds of the formula (I) may be evident over a broad range of concentrations. For example, in the case of a food product such as soups, condiments and the like, a compound or mixture of compounds may be present in amounts ranging from 0.01 to 1.0% by weight, whereas, in the case of a beverage, such as alcoholic or soft drinks, a compound or a mixture thereof may be present in a concentration ranging from 0.0001 to
15 500mg/kg.

Whereas the compounds of formula (I) are described as flavourant ingredients, their unusual property of providing a tingling sensation, and their organoleptic properties may be usefully employed in personal care products or cosmetics, that are to be topically applied whereupon they may impart a fresh tingling sensations. In such compositions, they may be applied in
20 amounts ranging from 0.01 to 10% by weight, more preferably 0.1 to 1% by weight.

There now follows a series of non-limiting examples that serve to illustrate the invention.

Example 1

25

N-isobutyl E2,E4,Z8-undecatrienamide (Compound 1 in the Table above)

- In a procedure based on a published method (*Tetrahedron Lett.* 1985, 26(20), 2477-2480), at 0°C, in a round-bottom flask under an inert atmosphere of nitrogen, a solution of 2.77g
30 (11mmol) of diethyl N-isobutyl phosphonoacetamide in 20mL of dry tetrahydrofuran is added to 15.5mL of a 1.5M solution of butyl lithium (23mmol) in hexane. The mixture is stirred at 0°C for thirty minutes. A solution of 1.4g of E2,Z6-nonadienal in 5mL of dry tetrahydrofuran is

then added dropwise to the stirred reaction mixture. The mixture is stirred at 0°C for two hours. The reaction mixture is then diluted in 100mL of hexane and washed with a saturated aqueous solution of ammonium chloride. The organic phase is collected and dried over anhydrous magnesium sulfate, filtered and concentrated. The residue is purified by chromatography on silica gel to give 0.5g of product as a white fluffy powder.

¹H NMR (δ in ppm): 7.2 (doublet of doublet), 6.1 (multiplet), 5.75 (doublet), 5.34 (multiplet), 3.2 (triplet), 2.2 (multiplet), 2.0 (quintuplet), 1.8 (septuplet), 0.96(triplet), 0.93 (doublet).

10 Example 2

Preparation of 3-phenyl-acryloyl chloride

In a round-bottom flask under an inert atmosphere of nitrogen, a mixture of 37.04g (0.25mol) of cinnamic acid, 44.6g [0.375mol] of thionyl chloride and 2 drops of pyridine were heated at reflux for 4h. The red mixture was concentrated (40°C / 125mbar) and 42.7 g of a brownish oil were recovered.

Preparation of 3-phenyl-N-butyl-acrylamide

In a round-bottom flask under an inert atmosphere of nitrogen, a mixture of 4.1 g [25mmol] of 3-phenyl-acryloyl chloride, 25ml of dry tetrahydrofuran, 5 ml of pyridine was added. 1.77g [30mmol] of iso-butylamine was added over a period of 30min at room temperature. The mixture was stirred for 5h at room temperature. The reaction mixture was diluted with methyl t-butyl ether and extracted with water. The organic phase was washed with aqueous hydrochloric acid (1N) and brine, dried over anhydrous magnesium sulfate, filtered and concentrated. The residue was crystallized from MTBE/hexane to give 4.1g of product as a yellowish fluffy powder.

¹H NMR (δ in ppm): 7.6 (doublet, 1H), 7.5 (multiplet, 2H), 7.4 (multiplet, 3H), 6.4 (doublet, 1H), 5.8 (singlet, broad, 1H), 3.4 (quartet, 2H), 1.6 (sixtuplet, 2H), 1.0 (triplet, 3H).

Example 35 Deca-2,4,8-trienoic acid methyl ester

To a solution of 4-(diethoxy-phosphoryl)-but-2-enoic acid methyl ester (11.6 g, 49.2 mmol) in THF (70 ml) was added KOtBu (5.98g, 49.2 mmol) at 0°C. The mixture was cooled to -78°C and a solution of (Z)-hex-4-enal (4.00g, 40.8 mmol) in THF (10 ml) was added dropwise. After the cooling bath was removed and the mixture had warmed up to room temperature, sat. NH₄Cl
10 was added and the mixture was extracted with pentane. The organic phase was washed with water and brine, dried (MgSO₄) and concentrated in vacuo. The residue was distilled bulb to bulb (90°C/0.01 Torr) to yield (8Z,2E)-deca-2,4,8-trienoic acid methyl ester (2.4g, 33%) as a 7/3 mixture of the (4E/Z)-isomers.

15 ¹H-NMR (200MHz, CDCl₃): 7.69-7.20 (m, 1H), 6.29-5.31 (m, 5H), 3.76, 3.74 (2s, 3H, OMe), 2.45-2.13 (m, 4H), 1.61 (d, J = 6.5 Hz, 3H, 10-H) ppm; MS (EI): 180 (M⁺, 2), 149 (6), 121 (10), 111 (27), 93 (28), 67 (51), 59 (32), 55 (100), 39 (35), 29 (28). IR (neat): 3015m, 2949m, 1720s, 1644m, 1435m, 1264s, 1137m cm⁻¹.

20 Deca-2,4,8-trienoic acid (2-hydroxy-2-methyl-propyl)-amide (Compound 2 in the Table above)

Deca-2,4,8-trienoic acid methyl ester (1.90g, 10.56 mmol) was saponified with NaOH (2.11g, 52.8 mmol) in H₂O/MeOH (5/1, 60 ml) during 2d. The crude reaction mixture was acidified with HCl (2N) to pH = 1 and extracted 5 times with MTBE. The organic phase was washed with brine, dried (MgSO₄) and concentrated in vacuo. The residue was dissolved in CH₂Cl₂ (30 ml)
25 containing a drop of DMF and treated over night with oxalyl chloride (2.0g, 15.7 mmol). The solvent was removed in vacuo (while keeping the temperature at ~20°C), the residue was redissolved in CH₂Cl₂ (10 ml) and added to a solution of 1-amino-2-methyl-propan-2-ol (1.1g, 12.4 mmol) and triethyl amine (1.5g, 15 mmol) in CH₂Cl₂ (20 ml). The mixture was stirred for 5h and was then quenched with water and extracted with CH₂Cl₂. The organic phase was
30 washed with 1N HCl, water and brine, dried (MgSO₄) and concentrated in vacuo. (2E,8Z)-Deca-2,4,8-trienoic acid (2-hydroxy-2-methyl-propyl)-amide (2.0g, 80%) crystallized from ethyl acetate/hexane as a 7/3 mixture of the (4E/Z)-isomers in form of slightly yellow crystals.

(4*E*)-Isomer: ¹H-NMR (400MHz, CDCl₃): 7.19 (dd, *J* = 15.0 Hz, 10.3 Hz, 1H, 3-H), 6.56 (bt, *J* = 6.0 Hz, 1H, NH), 6.19-6.03 (m, 2H, 4,5-H), 5.86 (d, *J* = 15.0 Hz, 1H, 2-H), 5.52-5.44 (m, 1H), 5.39-5.32 (m, 1H), 3.49 (s, 1H, OH), 3.33 (d, *J* = 6.0 Hz, 2H, NH-CH₂), 2.25-2.13 (m, 4H, 6,7-H), 1.60 (d, *J* = 6.5 Hz, 3H, 10-H) ppm. MS (EI): 237 (M⁺, 4), 179 (62), 164 (13), 149 (23) 124 (48), 110 (100), 94 (22), 84 (25), 66 (30), 59 (47), 55 (88), 41 (24), 30 (34). IR (neat): 3287br(OH), 2974m, 2931m, 1658s, 1627s, 1609s, 1537s, 1179m, 1161m, 996m, 913m cm⁻¹.

Example 4

10

A flavour composition is formed of the following ingredients:

80g Vegetable oil

6.153g Black pepper oil

4.338g alpha-phellandrene

15 2.8575g caryophyllene

0.72g ginger oil

0.696g compound 2

0.1135g 4-terpinenol

0.0540g linalool

20 0.03g cedar leaf oil

0.018g celery seed oil

The ingredients are thoroughly mixed before adding "Tween" (trade mark) surfactant 80 q. s.

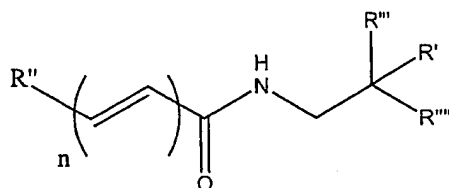
100. Additional mixing is performed until a clear, pale to medium yellow liquid is obtained.

25

When this liquid is added to a soup at 0.2%, the above formula adds a pleasurable pungency and tingling sensation to the soup.

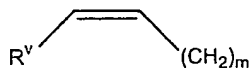
Claims:

1. Use as a flavour ingredient of at least one compound according to the formula (I)



- 5 R' is H, or OH, and n is 1 or 2, R'' being selected such that

(a) when n=2, R'' is a group of the formula



wherein m is 1, 2 or 3;

10

R''' and R'''' are independently selected from H, C1-C4 alkyl, benzyl, or

R''' and R'''' together with the carbon atom to which they are attached form a 5-or 6-membered carbocyclic ring,

15

R^v is alkyl or alkenyl or a substituted alkyl or unsubstituted alkenyl that does not contain any aliphatic conjugated double bonds, and is preferably a linear or branched alkyl group having from 1 to 6 carbon atoms, or a linear or branched alkenyl group having 2 to 6 carbon atoms;

or

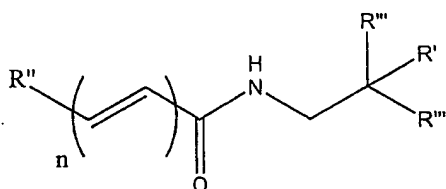
20

(b) when n=1, R'' is selected from a group as described under (a) and a phenyl group.

2. Use according to claim 1 wherein R' is OH.

10

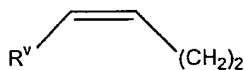
3. Use according to claim 1 wherein n is 1.
4. A compound according to claim 1 selected from the group consisting of



5. in which R', R'', R''', R'''' and n are according to the following table

Compound	R'	R''	R'''	R''''	n
1	H	B	Methyl	Methyl	2
2	OH	C	Methyl	Methyl	2

wherein B and C are each a group of the formula



10

wherein in B R^y is an ethyl radical; and in C R^y is a methyl radical

15

5. A flavour composition according comprising at least one compound of Formula I as defined in claim 1.
6. A food product containing a compound of formula (I) defined in claim 1 or mixtures thereof in amounts of 0.001 to 10% by weight.
7. A beverage product containing at least one compound of formula (I) defined in claim 1 in an amount of from 0.0001 to 500mg/Kg.

20

8. A personal care product or cosmetic product containing at least one compound of formula (I) as defined in claim 1 in an amount of from 0.01 to 10% by weight.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/CH 03/00500

A. CLASSIFICATION OF SUBJECT MATTER
 IPC 7 C07C233/09 C07C233/20 A23L1/226

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A23L C07C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, FSTA, BIOSIS, EMBASE, CHEM ABS Data, MEDLINE

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>NAKATANI N ET AL: "PUNGENT ALKAMIDES FROM SPILANTHES-ACMELLA-VAR-OLERACEA CLARKE" BIOSCIENCE BIOTECHNOLOGY AND BIOCHEMISTRY, vol. 56, no. 5, 1992, pages 759-762, XP002258392 ISSN: 0916-8451 page 759, paragraphs 1,3 page 760, paragraph 1 page 761, paragraphs 1,2,6-9 --- -/--</p>	1-3,5-8

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

Date of the actual completion of the international search

20 October 2003

Date of mailing of the international search report

05/11/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
 NL - 2280 HV Rijswijk
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
 Fax: (+31-70) 340-3016

Authorized officer

Tallgren, A

INTERNATIONAL SEARCH REPORT

International Application No

PCT/CH 03/00500

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>RAMSEWAK R S ET AL: "Bioactive N-isobutylamides from the flower buds of <i>Spilanthes acmella</i>"</p> <p>PHYTOCHEMISTRY, PERGAMON PRESS, GB, vol. 51, no. 6, July 1999 (1999-07), pages 729-732, XP004290815</p> <p>ISSN: 0031-9422</p> <p>page 729, paragraphs 1,2</p> <p>page 730, paragraphs 1,3</p> <p>page 731, paragraph 6</p>	1-3,5-8
A	<p>KIKUZAKI H ET AL: "LC-MS analysis and structural determination of new amides from Javanese long pepper (<i>Piper retrofractum</i>)."</p> <p>BIOSCIENCE, BIOTECHNOLOGY, AND BIOCHEMISTRY 1993 CORRESPONDENCE (REPRINT) ADDRESS, N. NAKATANI, DEP. OF FOOD & NUTR., FAC. OF HUMAN LIFE SCI., OSAKA CITY UNIV., SUMIYOSHI-KU, OSAKA 558, JAPAN, vol. 57, no. 8, pages 1329-1333, XP008023492</p> <p>page 1329, paragraphs 1,2,10</p>	1-8
A	<p>DATABASE WPI</p> <p>Section Ch, Week 198006</p> <p>Derwent Publications Ltd., London, GB;</p> <p>Class C02, AN 1980-09886C</p> <p>XP002258393</p> <p>& JP 54 117476 A (SUMITOMO CHEM CO LTD), 12 September 1979 (1979-09-12)</p> <p>abstract</p>	1-8
A	<p>DATABASE WPI</p> <p>Section Ch, Week 198135</p> <p>Derwent Publications Ltd., London, GB;</p> <p>Class C03, AN 1981-63428D</p> <p>XP002258394</p> <p>& JP 56 087504 A (SUMITOMO CHEM CO LTD), 16 July 1981 (1981-07-16)</p> <p>abstract</p>	1-8
A	<p>US 2002/081370 A1 (GOEKE ANDREAS ET AL)</p> <p>27 June 2002 (2002-06-27)</p> <p>column 2, paragraph 9; claims 1,4; figures 1,2</p>	1-8
A	<p>DATABASE WPI</p> <p>Section Ch, Week 198523</p> <p>Derwent Publications Ltd., London, GB;</p> <p>Class A96, AN 1985-138980</p> <p>XP002258395</p> <p>& JP 60 075424 A (LION CORP), 27 April 1985 (1985-04-27)</p> <p>abstract</p>	8

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CH 03/00500

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
JP 54117476	A	12-09-1979	JP 1310924 C JP 60034553 B	11-04-1986 09-08-1985
JP 56087504	A	16-07-1981	NONE	
US 2002081370	A1	27-06-2002	US 6335047 B1	01-01-2002
JP 60075424	A	27-04-1985	JP 2045446 C JP 7053652 B	09-04-1996 07-06-1995